

TITLE COMPANIES

COMPANY NAME: _____ NAIC Company Code: _____

Contact: _____ Telephone: _____

REQUIRED FILINGS IN THE STATE OF: _____ Filings Made During the Year 2026

(1) Checklis t	(2) Line #	(3) REQUIRED FILING FOR THE ABOVE STATE	(4) NUMBER OF COPIES*		(5) DUE DATE	(6) FORM SOURCE* *	(7) APPLICABL E NOTES			
			Domestic							
			State	NAIC						
I. NAIC FINANCIAL STATEMENTS										
1		Annual Statement (8 1/2" x 14")	1	EO	xxx	3/1	NAIC			
1.1		Printed Investment Schedule detail (Pages E01-E30)	1	EO	xxx	3/1	NAIC			
2		Quarterly Financial Statement (8 1/2" x 14")	1	EO	xxx	5/15, 8/15, 11/15	NAIC			
II. NAIC SUPPLEMENTS										
11		Actuarial Opinion	1	EO	xxx	3/1	Company			
12		Investment Risk Interrogatories	1	EO	xxx	4/1	NAIC			
13		Management Discussion & Analysis	1	EO	xxx	4/1	Company			
14		Schedule SIS	1	N/A	N/A	3/1	NAIC			
15		Supplemental Compensation Exhibit	1	N/A	N/A	3/1	NAIC			
16		Supplemental Schedule of Business Written By Agency	1	N/A	xxx	4/1	NAIC			
III. ELECTRONIC FILING REQUIREMENTS										
61		Annual Statement Electronic Filing	xxx	EO	xxx	3/1	NAIC			
62		March .PDF Filing	xxx	EO	xxx	3/1	NAIC			
63		Supplemental Electronic Filing	xxx	EO	xxx	4/1	NAIC			
64		Supplemental .PDF Filing	xxx	EO	xxx	4/1	NAIC			
65		Quarterly Statement Electronic Filing	xxx	EO	xxx	5/15, 8/15, 11/15	NAIC			
66		Quarterly .PDF Filing	xxx	EO	xxx	5/15, 8/15, 11/15	NAIC			
67		June .PDF Filing	xxx	EO	xxx	6/1	NAIC			
IV. AUDIT/INTERNAL CONTROL RELATED REPORTS										
81		Accountants Letter of Qualifications	1	EO	N/A	6/1	Company			
82		Audited Financial Reports	1	EO	xxx	6/1	Company			
83		Audited Financial Reports Exemption Affidavit	1	N/A	N/A		Company			
84		Communication of Internal Control Related Matters Noted in Audit	1	EO	N/A	8/1	Company			
85		Independent CPA (change)	1	N/A	N/A		Company			
86		Management's Report of Internal Control Over Financial Reporting	1	N/A	N/A	8/1	Company			
87		Notification of Adverse Financial Condition	1	N/A	Note A		Company			
88		Relief from the five-year rotation requirement for lead audit partner	1	EO	xxx	3/1	Company			
89		Relief from the one-year cooling off period for independent CPA	1	EO	xxx	3/1	Company			
90		Relief from the Requirements for Audit Committees	1	EO	xxx	3/1	Company			
91		Request for Exemption to File Management's Report of Internal Control Over Financial Reporting	1	N/A	N/A		Company			
V. STATE REQUIRED FILINGS***										
101		Corporate Governance Annual Disclosure***	1	0	xxx	6/1	Company			
102		Filings Checklist (with Column 1 completed)	1	0	0		State			
103		Form B-Holding Company Registration Statement	1	0	Note N	6/1	Company			
104		Form F-Enterprise Risk Report ***	1	0	Note N	6/1	Company			
105		ORSA ****	1	0	xxx	10/1	Company			
106		State Filing Fees	1	0	1	3/1	State			
107		Signed Jurat	xxx	0	EO	3/1, 5/15, 8/15, 11/15	NAIC			
108		Group Capital Calculation (File with lead state only)	0	0	xxx		Note G, I, J, L, O			
							State			

(1) Checklist	(2) Line #	(3) REQUIRED FILING FOR THE ABOVE STATE	(4) NUMBER OF COPIES*			(5) DUE DATE	(6) FORM SOURCE* *	(7) APPLICABLE NOTES
			Domestic		Foreign			
			State	NAIC	State			
	109	Certificate of Compliance	0	0	EO	3/1	State	
	110	Certificate of Deposit	0	0	EO	3/1	State	
	111	Premium Tax	Note D	0	Note D		State	Note D
	112	Information Security Program Certification Form	1	0	xxx	2/15	State	

*If XXX appears in this column, this state does not require this filing, if hard copy is filed with the state of domicile and if the data is filed electronically with the NAIC. If N/A appears in this column, the filing is required with the domiciliary state. EO (electronic only filing).

**If Form Source is NAIC, the form should be obtained from the appropriate vendor.

***For those states that have adopted the NAIC Corporate Governance Annual Disclosure Model Act, an annual disclosure is required of all insurers or insurance groups by June 1. The Corporate Governance Annual Disclosure is a state filing only and should not be submitted by the company to the NAIC. Note however that this filing is intended to be submitted to the lead state if filed at the insurance group level. For more information on lead states, see the following NAIC URL: http://www.naic.org/public_lead_state_report.htm

****For those states that have adopted the NAIC updated Holding Company Model Act, a Form F Filing is required annually by holding company groups. Consistent with the Form B filing requirements, the Form F is a state filing only and should not be submitted by the company to the NAIC. Note however that this filing is intended to be submitted to the lead state. For more information on lead states, see the following NAIC URL: http://www.naic.org/public_lead_state_report.htm

*****For those states that have adopted the NAIC Risk Management and Own Risk and Solvency Assessment Model Act, a summary report is required annually by insurers and insurance groups above a specified premium threshold. The ORSA Summary Report is a state filing only and should not be submitted by the company to the NAIC. Note however that this filing is intended to be submitted to the lead state if filed at the insurance group level. For more information on lead states, see the following NAIC URL: http://www.naic.org/public_lead_state_report.htm

		NOTES AND INSTRUCTIONS (A-K APPLY TO ALL FILINGS)	
	A	<p>Required Filings Contact Person:</p> <p>Donna Whitley Financial & Market Regulation Division filings@mid.ms.gov 601-359-2127</p>	
	B	<p>Mailing Address:</p> <p>Mississippi Insurance Department Attention: Financial & Market Regulation Division 501 N. West St., Ste. 1001 Jackson, MS 39201</p> <p>Mississippi Insurance Department Attention: Financial & Market Regulation Division P O Box 79 Jackson, MS 39205-0079</p>	
	C	<p>New Process for Payment of Annual Filing Fees:</p> <ul style="list-style-type: none"> Effective January 1, 2023, annual filing fees will be due March 1st of each year. See Bulletin 2022-3 (https://www.mid.ms.gov/legal/bulletins/20223bul.pdf) The Department will email the invoice for the annual filing fees to the Company License/Fees Contact on/or around January 15th. Please ensure the Company License/Fees Contact is up to date. Payment of the annual filing fees shall be made electronically via Sircon's electronic payment portal (https://www.sircon.com/Mississippi). <p>Any questions regarding the payment of the annual filing fees should be addressed to filings@mid.ms.gov</p>	
	D	<p>Mailing Address for Premium Tax Payments:</p> <p>Mississippi Department of Revenue Attn: Debra McDonald 1577 Springridge Rd Raymond, MS 39154</p> <p>Mississippi Department of Revenue Attn: Derrick Barnes P O Box 23075 Jackson, MS 39225-3075</p>	
	E	<p>Delivery Instructions:</p> <p>All filings must be received no later than the indicated due date. If the due date falls on a weekend or holiday, the due date is the next business day.</p>	
	F	<p>Late Filings:</p> <p>Pursuant to Miss. Code Ann. § 83-5-69, company shall pay \$100 for each day's neglect, and upon notice by the commissioner to that effect, its authority to do new business shall cease while such default continues.</p>	

NOTES AND INSTRUCTIONS (A-K APPLY TO ALL FILINGS)		
	G	<p>Original Signatures:</p> <p>Original wet signatures are required on all filings from domestic companies. Foreign companies should follow the NAIC Annual Statement Instructions.</p>
	H	<p>Signature/Notarization/Certification:</p> <p>The statement shall be sworn to by the president or vice president and secretary or treasurer or chief managing agent or officer of such company.</p>
	I	<p>Amended Filings:</p> <p>Amended items must be filed within 10 days of their amendment, along with explanation of the amendments. If there are signature requirements for the original filings, then same should be followed for any amendment.</p>
	J	<p>Exceptions from normal filings:</p> <p>Foreign companies shall submit a written request by electronic filing of any exemption or extension received by its state of domicile at least 10 days prior to the filing due date to receive such from Mississippi. You may submit the request to filings@mid.ms.gov.</p> <p>Domestic companies shall apply at least 10 days prior to the original due date via contact with your analyst.</p>
	K	<p>Bar Codes (State or NAIC):</p> <p>NAIC Annual Statement Instructions should be followed.</p>
	L	<p>Signed Jurat:</p> <p>The Department requires the filing of a signed Jurat for domestic and foreign companies.</p>
	M	<p>NONE Filings:</p> <p>NAIC Annual Statement Instructions should be followed.</p>
	N	<p>Filings new, discontinued or modified materially since last year:</p> <p>Foreign companies which do not have a Holding Company law similar to Mississippi are required to file pursuant to Miss. Code Ann. § 83-6-3.</p>
	O	<p>Foreign insurers shall file an electronic copy with the Department via the Document Submission Portal on or before the statutory due date. To access the Document Submission Portal, please click on the following link:</p> <p>https://www.mid.ms.gov/mississippi-insurance-department/companies/document-submission-portal/</p> <p><u>Please note that no hard copy filings are required.</u> Should there be any questions concerning use of the Portal, please contact filings@mid.ms.gov.</p>

**General Instructions
For Companies to Use Checklist**

Please Note: This state's instructions for companies to file with the NAIC are included in this Checklist. The NAIC will not be sending their own checklist this year.

Electronic filing is intended to be filing(s) submitted to the NAIC via the NAIC Internet Filing Site which eliminates the need for a company to submit diskettes or CD-ROM to the NAIC. Companies are not required to file hard copy filings with the NAIC.

Column (1) Checklist

Companies may use the checklist to submit to a state, if the state requests it. Companies should copy the checklist and place an "x" in this column when submitting information to the state.

Column (2) Line #

Line # refers to a standard filing number used for easy reference. This line number may change from year to year.

Column (3) Required Filings

Name of item or form to be filed.

The ***Annual Statement Electronic Filing*** includes the annual statement data and all supplements due March 1, per the ***Annual Statement Instructions***. This includes all detail investment schedules and other supplements for which the ***Annual Statement Instructions*** exempt printed detail.

The ***March.PDF Filing*** is the .pdf file for the annual statement, detail for investment schedules and all supplements due March 1.

The ***Supplemental Electronic Filing*** includes all supplements due April 1, per the ***Annual Statement Instructions***.

The ***Supplemental.PDF Filing*** is the .pdf file for all supplements due April 1.

The ***Quarterly Electronic Filing*** includes the quarterly statement data.

The ***Quarterly.PDF Filing*** is the .pdf for quarterly statement data.

The ***June.PDF Filing*** is the .pdf file for the Audited Financial Statements and Accountants Letter of Qualifications.

Column (4) Number of Copies

Indicates the number of copies that each foreign or domestic company is required to file for each type of form. The Blanks (EX) Task Force modified the 1999 ***Annual Statement Instructions*** to waive paper filings of certain NAIC supplements and certain investment schedule detail, if such investment schedule data is available to the states via the NAIC database. The checklists reflect this action taken by the Blanks (EX) Task Force. XXX appears in the "Number of Copies" "Foreign" column for the appropriate schedules and exhibits. **Some states have chosen to waive printed quarterly and annual statements from their foreign insurers and to rely upon the NAIC database for these filings. This waiver could include supplemental annual statement filings. The XXX in this column might signify that the state has waived the paper filing of the annual statement and all supplements.**

Column (5) Due Date

Indicates the date on which the company must file the form.

Column (6) Form Source

This column contains one of three words: "NAIC," "State," or "Company," If this column contains "NAIC," the company must obtain the forms from the appropriate vendor. If this column contains "State," the state will provide the forms with the

filing instructions (generally, on its web site). If this column contains “Company,” the company, or its representative (e.g., its CPA firm), is expected to provide the form based upon the appropriate state instructions or the NAIC *Annual Statement Instructions*.

Column (7) Applicable Notes

This column contains references to the Notes to the Instructions that apply to each item listed on the checklist. The company should carefully read these notes before submitting a filing.

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