

Name City

State

Foreign Country

MISSISSIPPI INSURANCE DEPARTMENT

P.O. BOX 79, JACKSON, MS 39205

MIKE CHANEY, Commissioner of Insurance DAIVD BROWNING, Deputy Commissioner of Insurance

DEPARTMENT USE ONLY	

Check appropriate box for	-		IX LIIC	A LUL V	<u>JL All</u>		ege Tax		00			
Resident Licen	ise											
Non-Resident	License: Identify nated Home State	Home S	tate:	. D	1.11	_ Iden	tify Hon	ne State	License	e #:	TTQ //	
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			Demogr	anhic	Information	1						
Social Security Number		If assigne					issisippi Pı	ivilege L	icense Nu	ımber and	or FINRA Number	
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Last Name JR./SR. etc			First Name			Mi	Middle Name			Date of Birth		
									(month) (day) (year)			
Residence/Home Address (Physical	Street)	I.	City				State	Zip	p Code Foreign Country			
Home Phone Number	Gender (Circle	One)	Are vou	a Citiz	zen of the Unit	ed States	? (Check (One)				
() -	Male Female		Are you a Citizen of the United States? (Check One) Yes No (If No, of which country are you a citizen?)									
Business Entity Name					(I	f No, you	ı must sup	ply proof	of eligibi	lity to wo	rk in the U.S.)	
Business Entity Name												
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Business Address (Physical Street)			P.O. B	ox	City		Sta	ate	Zıp	Code	Foreign Country	
Business Phone Number (include	Business Fax N		Business E-Mail A			dress		Business Web Site Address				
extension) () -	() -											
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Applicant's Mailing Address		P.O. 1	Box	City			State Zip		Code		Foreign Country	
a. List any other assumed, fictive	tious, alias, maiden	or trade	names you	u have	used in the p	oast:						
b. List any trade names under v	vhich you are curre	ently doing	g business	s or int	tend to do bu	siness:						
		_	-		Entity Affili							
List your Insurance Agency Affi	iliations (Complete	only if th	ne applicai	nt is to	be licensed	as an ac	tive mem	iber of t	he busine	ess entity	·)	
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Account for all time for the past f work, self-employment, military s					ng with your cu	ırrent em	ployer wo	rking bac	k five yea	ars. Inclu	de full and part-time	
work, sen-employment, mintary s	service, unemploymer	From To										
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Background Information			
The Applicant must read the following very carefully and answer every question. All written statements submitted by the Applicant mu include an original signature.	ıst		
1. Have you ever been convicted of a crime, had a judgment withheld or deferred, or are you currently charged with committing a crime?		Yes	No
"Crime" includes a misdemeanor, felony or a military offense. You may exclude misdemeanor traffic citations or convictions driving under the influence (DUI) or driving while intoxicated (DWI), driving without a license, reckless driving, or driving suspended or revoked license and juvenile offenses. "Convicted" includes, but is not limited to, having been found guilty by very judge or jury, having entered a plea of guilty or nolo contendre, or having been given probation, a suspended sentence or a fine.	; with a dict of a		
If you answer yes, you must attach to this application: a) a written statement explaining the circumstances of each incident, b) a copy of the charging document, c) a copy of the official document, which demonstrates the resolution of the charges or any final judgment,			
If you have a felony conviction, have you applied for a waiver as required by 18 USC 1033?	N/A	Yes	No
If so, was that waiver granted? (Attach copy of 1033 waiver approved by home state.)	N/A	Yes	No
2. Have you ever been named or involved as a party in an administrative proceeding regarding any professional or occupational license or registration? "Involved" means having a license censured, suspended, revoked, canceled, terminated; or, being assessed a fine, a cease and desist or prohibition order, a compliance order, placed on probation or surrendering a license to resolve an administrative action. "Involved" also being named as a party to an administrative or arbitration proceeding, which is related to a professional or occupational license. "Invol also means having a license application denied or the act of withdrawing an application to avoid a denial. INCLUDE Any business so recause of your actions, in your capacity as an owner, partner, officer, director, or member, or manager of an LLC. You may EXCLUDE terminations due solely to noncompliance with continuing educaiton requirements or failure to pay a renew of your actions, and a written statement identifying the type of license and explaining the circumstances of each incident, b.) a copy of the Notice of Hearing or other document that states the charges and allegations, and c.) a copy of the official document, which demonstrates the resolution of the charges or any final judgment.	rder, a o means lved" named	Yes	No
3. Has any demand been made or judgment rendered against you or any business in which you are or were an owner, partner, officer or di or member or manager of limited liability company, for overdue monies by an insurer, insured or producer, or have you ever been subject bankruptcy proceeding? Do not include personal bankruptcies, unless they involve funds held on behalf of others. If you answer yes, submit a statement summarizing the details of the indebtedness and arrangements for repayment, and/or type and location bankruptcy.	ect to a	Yes	No
4. Have you been notified by any jurisdiction to which you are applying of any delinquent tax obligation that is not the subject of a repayment agreement?		Yes	No
If you answer yes, identify the jurisdiction(s):			
 5. Are you currently a party to, or have you ever been found liable in, any lawsuit, arbitration or mediation proceeding involving allegation fraud, misappropriation or conversion of funds, misrepresentation or breach of fiduciary duty? If you answer yes, you must attach to this application: a) a written statement summarizing the details of each incident, b) a copy of the Petition, Complaint or other docu arbitration or mediation proceedings, and c) a copy of the official document, which demonstrates the resolution of the charges or any final judgment. 		Yes	No
 6. Have you or any business in which you are or were an owner, partner, officer or director, or member or manager of a limited liability company, ever had an insurance agency contract or any other business relationship with an insurance company terminated for any alleg misconduct? If you answer yes, you must attach to this application: a) a written statement summarizing the details of each incident and explaining why you feel this incident should not prevent y from receiving an insurance license, and b) copies of all relevant documents. 	ged	Yes	No
7. Do you have a child support obligation in arrearage?		Yes	No
If you answer yes, a) by how many months are you in arrearage? b) are you currently subject to and in compliance with any repayment agreement? c) are you the subject of a child support releated subpoena/warrant? (If you answered yes, provide documentation showing proof of current payments or an approved repayment plan from the approstate child support agency)		Yes Yes	_Months No No

	Applicant's Certification and Attestation
The Ap	plicant must read the following very carefully:
1.	I hereby certify that, under penalty of perjury, all of the information submitted in this application and attachments is true and complete. I am aware that submitting false information or omitting pertinent or material information in connection with this application is grounds for license revocation or denial of the license and may subject me to civil or criminal penalties.
2.	Unless provided otherwise by law or regulation of the jurisdiction, I hereby designate the Commissioner, Director or Superintendent of Insurance, or other appropriate party in each jurisdiction for which this application is made to be my agent for service of process regarding all insurance matters in the respective jurisdiction and agree that service upon the Commissioner, Director or Superintendent of Insurance, or other appropriate party of that jurisdiction is of the same legal force and validity as personal service upon myself.
3.	I further certify that I grant permission to the Commissioner, Director or Superintendent of Insurance, or other appropriate party in each jurisdiction for which this application is made to verify information with any federal, state or local government agency, current or former employer, or insurance company.
4.	I further certify that, under penalty of perjury, a) I have no child-support obligation, b) I have a child-support obligation and I am currently in compliance with that obligation, or c) I have identified my child support obligation arrearage on this application.
5.	I authorize the jurisdictions to give any information concerning me, as permitted by law, to any federal, state or municipal agency, or any other organization and I release the jurisdictions and any person acting on their behalf from any and all liability of whatever nature by reason of furnishing such information.
6.	I acknowledge that I understand and will comply with the insurance laws and regulations of the jurisdictions to which I am applying for licensure.
7.	For Non-Resident License Applications, I certify that I am licensed and in good standing in my home state/resident state for the lines of authority requested from the non-resident state.
8.	I hereby certify that upon request, I will furnish the jurisdiction(s) to which I am applying, certified copies of any documents attached to this application or requested by the jurisdiction(s)

Original Applicant Signature

Full Legal Name (Printed or Typed)

Month

Day

Year